

102103

15915 U.S. PTO

UTILITY PATENT  
APPLICATION TRANSMITTAL(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

|  |        |             |  |
|--|--------|-------------|--|
| Attorney Docket No.                            | 032036 | Total Pages |  |
| First Named Inventor or Application Identifier |        |             |  |
| Shuji KUROKAWA                                 |        |             |  |
| Express Mail Label No.                         |        |             |  |

Check Box, if applicable [ ] Duplicate

|   |  |
|---|--|
| APPLICATION ELEMENTS FOR:<br><b>ALIGNMENT APPARATUS</b> | ADDRESS TO:<br>Commissioner for Patents<br>MAIL STOP PATENT APPLICATION<br>P. O. Box 1450<br>Alexandria, VA 22313-1450 |
|---|--|

1. ☒ Fee Transmittal Form (Incorporated within this form) (The filing fee is deferred).
2. ☒ Specification Total Pages [19]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [3]
4. ☐ Oath or Declaration Total Pages [ ]  
**NOT attached; deferred under P.L. 97-247.**
  - a. ☐ Newly executed (original)
  - b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
  - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet and document(s)) to **LINTEC CORPORATION, Tokyo, Japan**  
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

22141 U.S. PTO  
10/68952  
102103

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Shuji KUROKAWA

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10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

Priority of Japanese application No. 2002-309260 filed on October 24, 2002 is claimed under 35 USC 119; and  
Priority of Japanese application No. 2003-203526 filed on July 30, 2003 is claimed under 35 USC 119.

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

| FEE TRANSMITTAL                    | Number Filed | Number Extra | Rate      | Basic Fee |
|------------------------------------|--------------|--------------|-----------|-----------|
| The filing fee is calculated below |              |              |           | \$770.00  |
| Total Claims                       | 10 - 20      |              | x \$18.00 |           |
| Independent Claims                 | 2 - 3        |              | x \$86.00 |           |
| Multiple Dependent Claims          |              |              | \$290.00  | 290.00    |
| Basic Filing Fee                   |              |              |           | 1060.00   |
| Reduction by 1/2 for small entity  |              |              |           |           |
| Fee for recording Assignment       |              |              | \$40.00   | \$40.00   |
| TOTAL                              |              |              |           | \$1100.00 |

**XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.**

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- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the filing fee of \$\_\_\_\_\_ and the assignment recordation fee of \$\_\_\_\_\_.
- ☐ Please charge our Deposit Account No. **50-2866** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.
- ☐ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **50-2866**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

**Customer No. 38834**

SUBMITTED BY

Typed or Printed Name William F. Westerman

Reg. No. 29,988

Signature

Date: October 21, 2003

WFW/yap

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